

Solutions to the Wave Equation (Part B)

Diffraction and Interferometry



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Slide 3B-1

Polarization

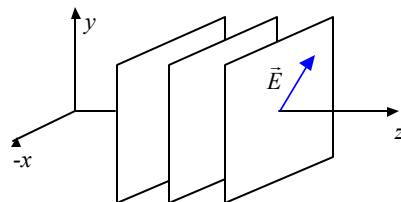
Consider the case of an EM plane wave traveling in the $+z$ direction.

E oscillates perpendicular to z .

General solution:

$$\vec{E}(z, t) = \vec{E}_0 e^{j(kz - \omega t)} = [A_x \hat{x} + A_y e^{j\phi} \hat{y}] e^{j(kz - \omega t)}$$

We will now trace the tip of the electric vector \vec{E} for several special cases.



We will look at both:

fixed $z = z_0$ with $t = \text{variable}$

fixed $t = t_0$ with $z = \text{variable}$



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Polarization – Linear

$$A_x = A_y = A_0$$

$$\phi = 0$$

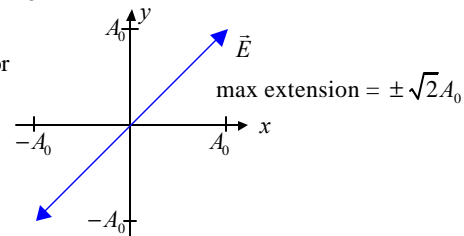
$$\vec{E}(z, t) = \vec{E}_0 e^{j(kz - \omega t)} = A_0 [\hat{x} + \hat{y}] e^{j(kz - \omega t)}$$

$$\text{Re}\{\vec{E}(z, t)\} = A_0 [\hat{x} + \hat{y}] \cos(kz - \omega t) \quad \leftarrow \text{This is the physical wave.}$$

$$\text{Consider } z = z_0, \text{ where } \text{Re}\{\vec{E}(z_0, t)\} = A_0 [\hat{x} + \hat{y}] \cos(kz_0 - \omega t)$$

Trace the tip of the electric vector in the (x,y) plane in time:

This is true for all z_0 .



Polarization – Left Circular

$$A_x = A_y = A_0$$

$$\phi = \pi / 2$$

$$\vec{E}(z, t) = A_0 [\hat{x} + e^{j\pi/2} \hat{y}] e^{j(kz - \omega t)}$$

Consider $z = 0$, where

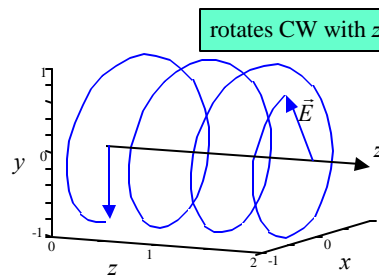
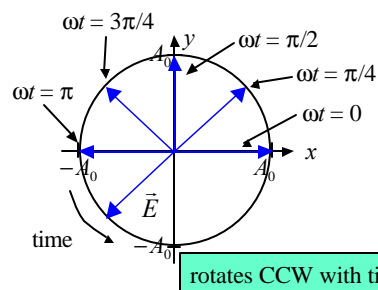
$$\text{Re}\{\vec{E}(0, t)\} = A_0 [\cos(\omega t) \hat{x} + \cos(\pi/2 - \omega t) \hat{y}]$$

$$= A_0 [\cos(\omega t) \hat{x} + \sin(\omega t) \hat{y}]$$

Consider $t = 0$, where

$$\text{Re}\{\vec{E}(z, 0)\} = A_0 [\cos(kz) \hat{x} + \cos(\pi/2 + kz) \hat{y}]$$

$$= A_0 [\cos(kz) \hat{x} - \sin(kz) \hat{y}]$$



Polarization – Right Circular

$$\begin{aligned} A_x &= A_y = A_0 \\ \phi &= -\pi/2 \end{aligned}$$

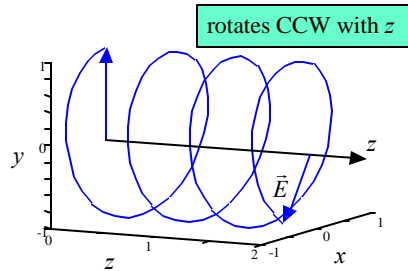
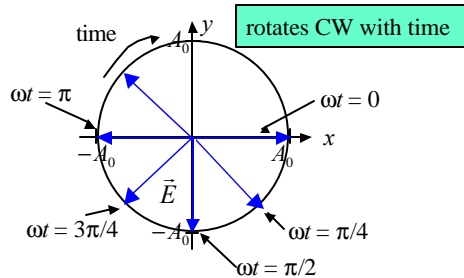
$$\vec{E}(z, t) = A_0 [\hat{x} + e^{-j\pi/2} \hat{y}] e^{j(kz - \omega t)}$$

Consider $z = 0$, where

$$\begin{aligned} \text{Re}\{\vec{E}(0, t)\} &= A_0 [\cos(\omega t)\hat{x} + \cos(-\pi/2 - \omega t)\hat{y}] \\ &= A_0 [\cos(\omega t)\hat{x} - \sin(\omega t)\hat{y}] \end{aligned}$$

Consider $t = 0$, where

$$\begin{aligned} \text{Re}\{\vec{E}(z, 0)\} &= A_0 [\cos(kz)\hat{x} + \cos(-\pi/2 + kz)\hat{y}] \\ &= A_0 [\cos(kz)\hat{x} + \sin(kz)\hat{y}] \end{aligned}$$



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Polarization – Elliptical

$$\begin{aligned} A_x, A_y \\ \phi_0 \end{aligned}$$

$$\vec{E}(z, t) = [A_x \hat{x} + A_y e^{j\phi_0} \hat{y}] e^{j(kz - \omega t)}, \text{ where}$$

$$E_x = A_x \cos(kz - \omega t) \quad (1)$$

$$E_y = A_y \cos(kz - \omega t + \phi) \quad (2)$$

Combination of (1) and (2) gives:

$$\left(\frac{E_x}{A_x}\right)^2 + \left(\frac{E_y}{A_y}\right)^2 - 2\left(\frac{E_x}{A_x}\right)\left(\frac{E_y}{A_y}\right)\cos\phi = \sin^2\phi$$

which is an equation of an ellipse.

In other words,

The tip of the electric vector specified by E_x and E_y trace an ellipse in any plane z that is determined by A_x , A_y , and ϕ .



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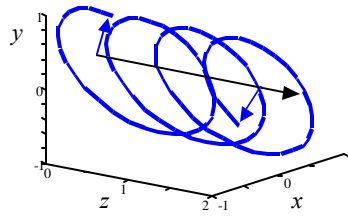
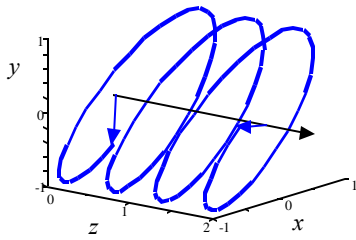
Polarization – Elliptical

$$A_x = A_y = 1$$

$$\phi = \pi/4$$

$$A_x = A_y = 1$$

$$\phi = 5\pi/4$$



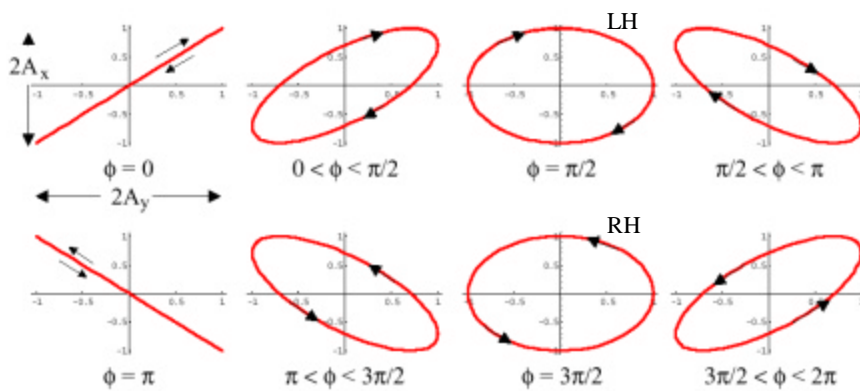
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Polarization – Elliptical

(Arrows show trace in z direction)



This slide from Jim Wyant, 2000.



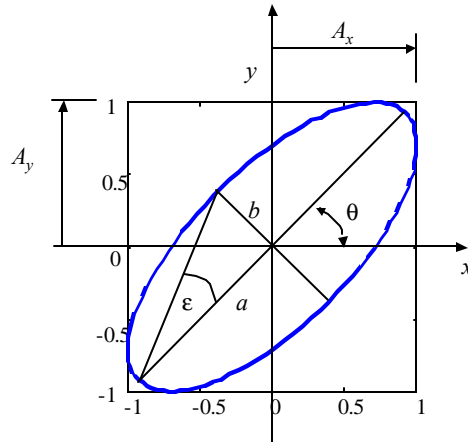
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Polarization – Ellipticity

Look into wave and observe the trace at z_0 as a function of time



$$\tan \alpha = \frac{A_y}{A_x} \quad (0 \leq \alpha \leq \pi/2)$$

$$\tan \epsilon = \pm \frac{b}{a} \quad (-\pi/4 \leq \epsilon \leq \pi/4)$$

$$\tan 2\theta = (\tan 2\alpha) \cos \phi \quad (0 \leq \theta \leq \pi)$$

$$\sin 2\epsilon = (\sin 2\alpha) \sin \phi$$

ϵ is often called the *ellipticity*



Polarization – Jones* Calculus

Look into wave and observe the trace at z_0 as a function of time

The values of constants A_x , A_y , and ϕ determine the *state of polarization* of the plane wave. Various states include *linear*, *circular* and *elliptical*.

We can write the wave conveniently with these constants in a column vector:

$$\vec{E}(x, t) = \begin{pmatrix} E_x \\ E_y \end{pmatrix} = \begin{pmatrix} A_x \\ A_y e^{j\phi} \end{pmatrix} e^{j(kz - \omega t)}$$

As the wave propagates, the state of polarization does not change unless the wave encounters and optical element. The *Jones vector* is the state of polarization written as a column vector.

$$\text{Jones vector} = J = \begin{pmatrix} J_x \\ J_y \end{pmatrix} = \begin{pmatrix} A_x \\ A_y e^{j\phi} \end{pmatrix}$$

*R. Clark Jones, *JOSA A*, **31**, 488-493 (1941), provided on web site. Actually, there are a series of eight papers.



Polarization – Jones Vector Examples

Common constants may be divided out of each Jones vector component.

$$\text{Example: } J = \begin{pmatrix} 3e^{j\pi/2} \\ 9e^{j\pi/2} \end{pmatrix} = 3e^{j\pi/2} \begin{pmatrix} 1 \\ 3 \end{pmatrix}$$

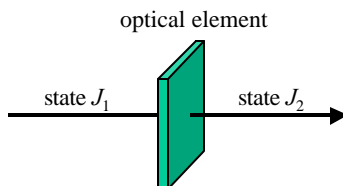
Various states of polarization:

$$\begin{array}{ll} \text{linear x} & \begin{pmatrix} 1 \\ 0 \end{pmatrix} & \text{linear y} & \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ \text{linear } +45^\circ & \begin{pmatrix} 1 \\ 1 \end{pmatrix} & \text{linear } -45^\circ & \begin{pmatrix} 1 \\ -1 \end{pmatrix} \\ \text{RHC} & \begin{pmatrix} 1 \\ -j \end{pmatrix} & \text{LHC} & \begin{pmatrix} 1 \\ j \end{pmatrix} \end{array}$$



Polarization – Jones Matrices for Optical Elements

Optical elements can affect the state of polarization as a plane wave passes through them.



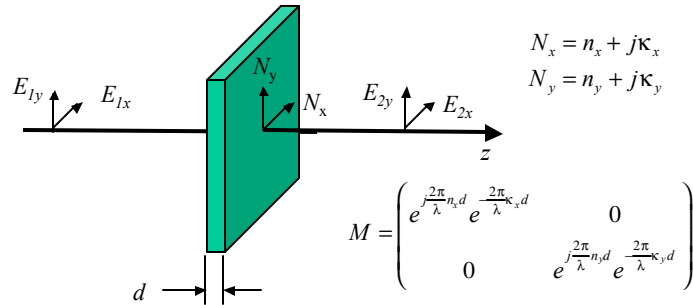
If we assume that the element acts linearly, the change of state through an element can be represented by a 2-by-2 matrix M .

$$J_2 = MJ_1 = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix} J_1 = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix} \begin{pmatrix} J_{1x} \\ J_{1y} \end{pmatrix} = \begin{pmatrix} m_{11}J_{1x} + m_{12}J_{1y} \\ m_{21}J_{1x} + m_{22}J_{1y} \end{pmatrix}$$



Polarization – Jones Matrices for Optical Elements

Consider optical elements with polarization-dependent refractive index.



Retardation plate: $n_x \neq n_y, \kappa_x \approx \kappa_y \approx 0$
 Polarizer plate: $n_x \approx n_y, \kappa_x \neq \kappa_y$



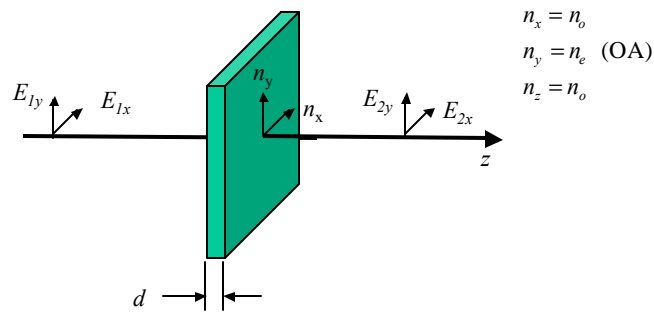
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Polarization – Jones Matrix Examples

Uniaxial Crystals



Uniaxial crystals have two primary refractive indices, depending on how the light beam is polarized. n_o (for *ordinary*) and n_e (for *extraordinary*). A common configuration is shown above. n_e defines the *optical axis* OA. A *positive* crystal, like quartz, has $n_e > n_o$. A *negative* crystal, like calcite, has $n_o > n_e$.



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Polarization – Jones Matrix Examples

Quarter-Wave Plate

A quartz quarter-wave plate is a uniaxial crystal with

$$n_o = n_x = 1.544$$

$$n_e = n_y = 1.553$$

$$\kappa_e = \kappa_o \approx 0$$

$$\text{at } \lambda = 500 \text{ nm}$$

The thickness d is fabricated so that the phase difference between x and y polarization components through the plate is $\pi/2$.

$$J_2 = c \begin{pmatrix} 1 & 0 \\ 0 & e^{j\pi/2} \end{pmatrix} J_1 = M_{QWP} J_1 = \begin{pmatrix} e^{j\frac{2\pi}{\lambda}n_o d} & 0 \\ 0 & e^{j\frac{2\pi}{\lambda}n_e d} \end{pmatrix} J_1 ,$$

where c is a constant. So,

$$c \begin{pmatrix} 1 & 0 \\ 0 & e^{j\pi/2} \end{pmatrix} = \begin{pmatrix} e^{j\frac{2\pi}{\lambda}n_o d} & 0 \\ 0 & e^{j\frac{2\pi}{\lambda}n_e d} \end{pmatrix} = e^{j\frac{2\pi}{\lambda}n_o d} \begin{pmatrix} 1 & 0 \\ 0 & e^{j\frac{2\pi}{\lambda}(n_e - n_o)d} \end{pmatrix} .$$



Polarization – Jones Matrix Examples

Quarter-Wave Plate

Comparison of terms yields

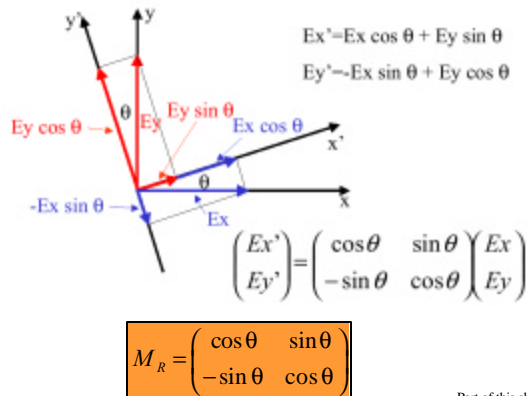
$$\frac{\pi}{2} = \frac{2\pi}{\lambda} (n_e - n_o) d, \text{ or}$$

$$d = \frac{\lambda}{4} \frac{1}{n_e - n_o} = \frac{500 \text{ nm}}{4(1.553 - 1.544)} = 14 \times 10^3 \text{ nm} = 14 \mu\text{m}$$



Polarization – Rotated Elements

In order to describe the effect of a rotated optical element, we can project the (x,y) electric fields onto the element with the *rotation matrix*, M_R .



Part of this slide from Jim Wyant, 2000.



Polarization – Jones Matrix Examples

Rotated Quarter-Wave Plate

Consider rotating a quarter-wave plate by 45° . Follow these steps to find the effect on transmitted light:

1.) Decompose the incident light into components. Application of the rotation matrix produces a description of the light field *in the coordinate frame of the rotated plate*.

$$J'_1 = M_R J_1$$

2.) Apply the quarter-wave plate matrix.

$$J'_2 = M_{QWP} J'_1 = M_{QWP} M_R J_1$$

3.) Rotate back into the (x,y) coordinate system.

$$J_2 = M_R^\dagger J'_2 = M_R^\dagger M_{QWP} J'_1 = M_R^\dagger M_{QWP} M_R J_1 = M_{QWP}^R J_1$$

Notice that

$$M_{QWP}^R = M_R^\dagger M_{QWP} M_R \quad (\dagger = \text{transpose})$$



Polarization – Jones Matrix Examples

Rotated Quarter-Wave Plate (cont'd)

$$\begin{aligned}
 M_{QWP}^R &= M_R^\dagger M_{QWP} M_R \\
 &= \begin{pmatrix} \cos 45^\circ & -\sin 45^\circ \\ \sin 45^\circ & \cos 45^\circ \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} \begin{pmatrix} \cos 45^\circ & \sin 45^\circ \\ -\sin 45^\circ & \cos 45^\circ \end{pmatrix} \\
 &= \begin{pmatrix} \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} \begin{pmatrix} \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix} \\
 &= \frac{1}{2} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \\
 &= \frac{1}{2} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -i & i \end{pmatrix} \\
 &= \frac{1}{2} \begin{pmatrix} 1+i & 1-i \\ 1-i & 1+i \end{pmatrix} = \frac{1+i}{2} \begin{pmatrix} 1 & -i \\ -i & 1 \end{pmatrix}
 \end{aligned}$$

A quarter-wave plate rotated at 45° has a very simple equivalent matrix.



Polarization – Jones Matrix Examples

Rotated Quarter-Wave Plate (cont'd)

Look at the effect of a rotated QWP on x-polarized light.

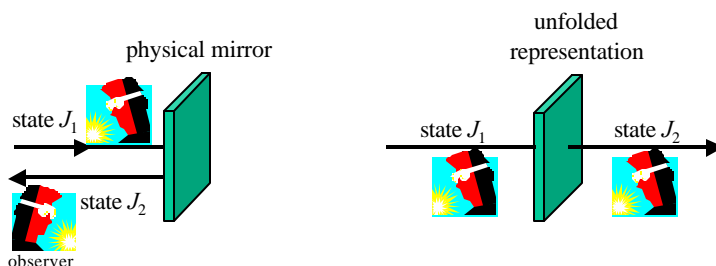
$$\begin{aligned}
 J_2 &= M_{QWP}^R J_1 \\
 &= \frac{1+i}{2} \begin{pmatrix} 1 & -i \\ -i & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\
 &= \frac{1+i}{2} \begin{pmatrix} 1 \\ -i \end{pmatrix}
 \end{aligned}$$

The effect of a QWP (rotated at 45°) on x-polarized light is to change the state of polarization to RHC.



Polarization – Jones Matrix Examples

Reflection Off a Mirror



Remember, we look *into* the wave to determine the state of polarization. A π phase is introduced between x and y axes from the physical mirror due to the right-handed coordinate system used in the wave description.

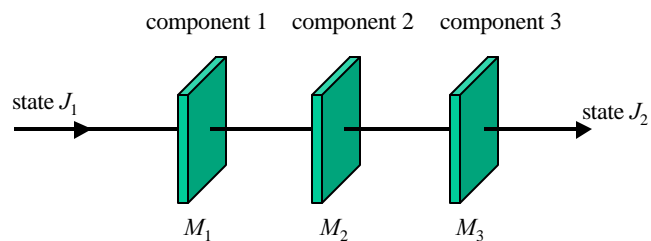
Therefore, the representation of a perfect mirror in the *unfolded* system is:

$$M_M = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$



Polarization – Jones Matrix Systems

Cascaded Components



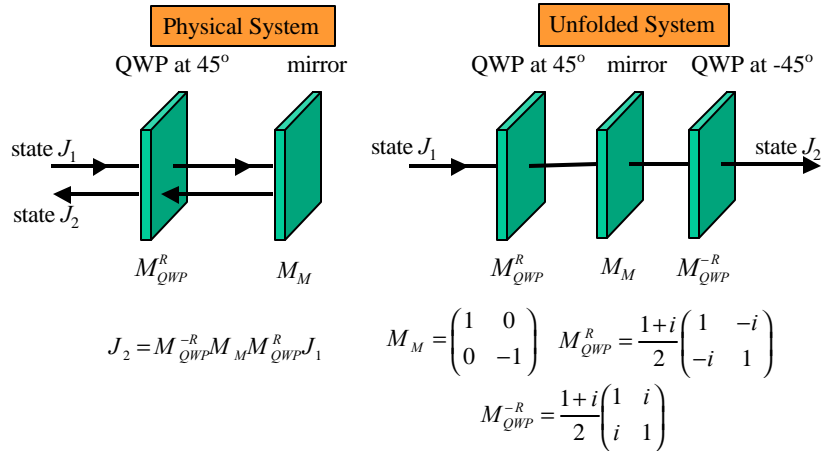
$$J_2 = M_3 M_2 M_1 J_1 = M_{SYS} J_1$$

Cascaded elements can be represented by algebraically multiplying component matrices into a system matrix M_{SYS} .



Polarization – Jones Matrix Examples

Combination of a Quarter-Wave Plate and a Mirror



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Polarization – Jones Matrix Examples

Combination of a Quarter-Wave Plate and a Mirror (cont'd)

$$\begin{aligned}
 J_2 &= \frac{1+i}{2} \begin{pmatrix} 1 & i \\ i & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \frac{1+i}{2} \begin{pmatrix} 1 & -i \\ -i & 1 \end{pmatrix} J_1 \\
 &= \frac{i}{2} \begin{pmatrix} 1 & i \\ i & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & -i \\ -i & 1 \end{pmatrix} J_1 \\
 &= \frac{i}{2} \begin{pmatrix} 1 & i \\ i & 1 \end{pmatrix} \begin{pmatrix} 1 & -i \\ i & -1 \end{pmatrix} J_1 \\
 &= \frac{i}{2} \begin{pmatrix} 0 & -2i \\ 2i & 0 \end{pmatrix} J_1 \\
 &= \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} J_1
 \end{aligned}$$

Notice the effect on x-polarized light:

$$\text{If } J_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix},$$

$$J_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$= \begin{pmatrix} 0 \\ -1 \end{pmatrix} \quad \text{The state of polarization is now y polarized.}$$



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Polarization – Jones Matrix Examples

$$\begin{array}{l} \text{Linear polarizer } x \\ \text{Linear polarizer } y \\ \text{HWP} \\ \text{General retarder} \end{array} \begin{array}{l} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \\ \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \\ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ e^{j\phi/2} \begin{pmatrix} 1 & 0 \\ 0 & e^{j\phi} \end{pmatrix} \end{array}$$

