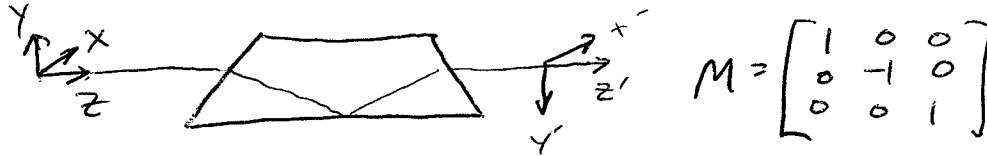


# Solutions.

Optical Engineering 421/521 - Fall 2007

**Midterm 1** Closed book, closed notes, 50 minutes.

- 1.) (10) Sketch a dove prism. Define three axes and write the mirror matrix for this prism. Draw its tunnel diagram.



- 2.) (5) For the prism above, describe what happens if the prism is rotated by a small angle about each of the three axes.

$\theta_x$  : direction changes by  $2\theta_x$

$\theta_y$  : no effect

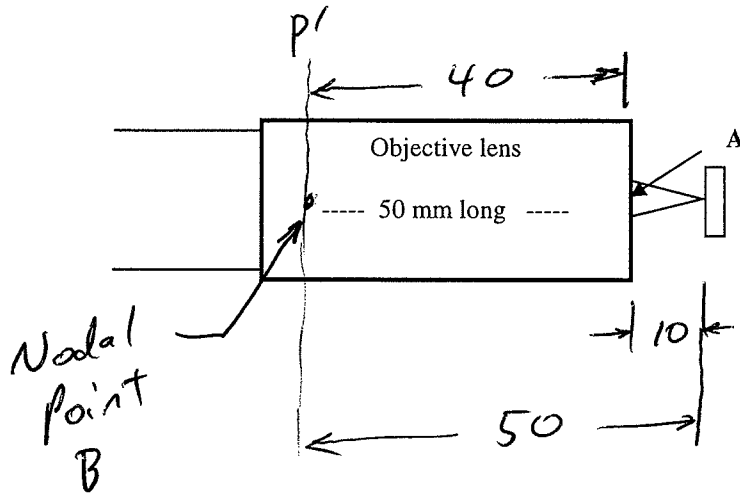
$\theta_z$  : image rotates by  $2\theta_z$

- 3.) (5) For the following case with an objective lens making an image of a distant object, determine the position of the nodal point and show it on the drawing. Show the position of the nodal point relative to point A:

DEP 10 mm aperture  
0.1 NA  
10 mm BFD  
5° FOV

$$F_n = \frac{1}{2NA} = \frac{1}{2(0.1)} = 5$$

$$EFL = F_n \cdot DEP = 5 \cdot 10 \text{ mm} = 50 \text{ mm}$$



- 4.) (5) What happens to the image if the lens above is tilted about point A by 1°.  $1^\circ = 17.5 \text{ mrad}$

Rotation about A = Rotation about B + translation of B  
*no image motion* *image shifts by amount of translation*

$$\Delta Y_B = \theta_A \cdot 40 \text{ mm} = (17.5 \text{ mrad} \times 40 \text{ mm}) = 700 \mu\text{m} \quad \left\{ \begin{array}{l} \text{image shift} \\ \text{in terms of} \end{array} \right.$$

- 5.) (5) A 25 mm diameter mirror has a requirements of  $R = 1000 \pm 10 \text{ mm}$ . Calculate the tolerance in terms of the sag of the surface. Is this tight?

$$\text{Sag} = \frac{r^2}{2R} = \frac{D^2}{8R}$$

$$\Delta \text{Sag} = \frac{D^2}{8R^2} \Delta R = \frac{1}{8} \left( \frac{25}{1000} \right)^2 \cdot 10 = \boxed{0.78 \mu\text{m}}$$

Yes, this is tight.

6.) (5) An wavefront with 1  $\mu\text{m}$  P-V spherical aberration has a shape error described by the equation:

$$S(\rho) = (1\mu\text{m}) \left( 4\rho^4 - 4\rho^2 + \frac{2}{3} \right) \text{ where } \rho \text{ is the normalized pupil radial coordinate } (\rho = 1 \text{ at the edge})$$

Show how to calculate the rms wavefront deviation for this case. You do not need to evaluate the integrals.

$$\sigma^2 = \langle W^2 \rangle - \langle W \rangle^2 = \frac{\iint W^2 dA}{A} - \left[ \frac{\iint W dA}{A} \right]^2$$

$$\begin{aligned} \frac{\iint W^2 dA}{A} &= \frac{1}{\pi} \cdot \int_0^1 (4\rho^4 - 4\rho^2 + \frac{2}{3})^2 \cdot 2\pi\rho d\rho \\ &= 2 \cdot (1\mu\text{m})^2 \int_0^1 (16\rho^8 - 32\rho^6 + \frac{16}{3}\rho^5 + 16\rho^5 - \frac{16}{3}\rho^3 + \frac{4}{9}\rho) d\rho \\ &= 2 \cdot \mu\text{m}^2 \left( \frac{16}{9} - \frac{32}{8} + \frac{16}{18} + \frac{16}{6} - \frac{16}{12} + \frac{4}{18} \right) = \frac{4}{45} \end{aligned}$$

$$\begin{aligned} \frac{\iint W dA}{A} &= \frac{1}{\pi} (1\mu\text{m}) \int_0^1 (4\rho^4 - 4\rho^2 + \frac{2}{3}) \cdot 2\pi\rho d\rho \\ &= 2 \cdot \mu\text{m} \left( \frac{4}{6} - \frac{4}{4} + \frac{2}{6} \right) = 0 \end{aligned}$$

$$\sigma^2 = \frac{4}{45} \quad \sigma = 0.298 \mu\text{m}$$

7.) (10) Consider a 25 mm beamsplitter with refractive index inhomogeneity of  $\pm 0.00001$ . Determine the P-V and rms wavefront variation due to the glass. For 0.5  $\mu\text{m}$  wavelength, calculate the effect on Strehl ratio.

$$\begin{aligned} \Delta n &= \pm 10 \text{ ppm} = 20 \text{ ppm P.V.} \\ &\approx 5 \text{ ppm rms} \quad \text{Rot: } \frac{\text{P.V.}}{\text{rms}} = 4 \end{aligned}$$

$$\Delta W = t \cdot \Delta n$$

$$\begin{aligned} &= 25 \text{ mm} \cdot 20 \text{ ppm P.V.} = 500 \text{ nm P.V.} \\ &= 125 \text{ nm rms} \end{aligned}$$

$$\begin{aligned} \Delta W &= 125 \text{ nm rms} \cdot \frac{1 \text{ wave}}{500 \text{ nm}} = 0.25 \lambda \text{ rms} \\ &\times \frac{2\pi \text{ rad}}{1 \lambda} = 1.57 \text{ rad} \end{aligned}$$

$$\text{SR} \approx 1 - \sigma^2 \text{ for } \sigma \ll 1$$

$$\text{SR} \approx 0 \quad e^{-\sigma^2} = 8\%$$

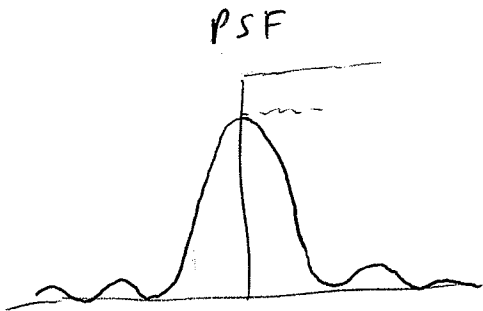
- 8.) (10) Consider an imaging system with 500 mm EFL and 10 mm circular entrance pupil, creating an image of a point at infinity with 500 nm wavelength light. For the case where the image plane is 2.5 mm out of focus:

a) Sketch the PSF intensity profile for the defocused system (show the image size as well as shape)

$$f/50 \quad \Delta W = \frac{\delta z}{8F^2} = 0.125 \mu\text{m} = \lambda/4$$

$$r_{rms} \approx \frac{1}{4} \cdot \lambda/4 \approx \lambda/16 \approx \frac{2\pi}{16} \approx 0.4 \text{ rad}$$

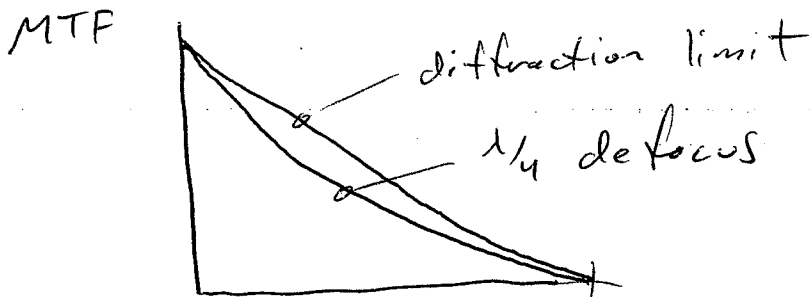
$$SR \approx 80\%$$



~20 energy taken from central lobe and put into ring

$$\leftarrow \rightarrow \sim 2.4 \lambda F^2 = 60 \mu\text{m}$$

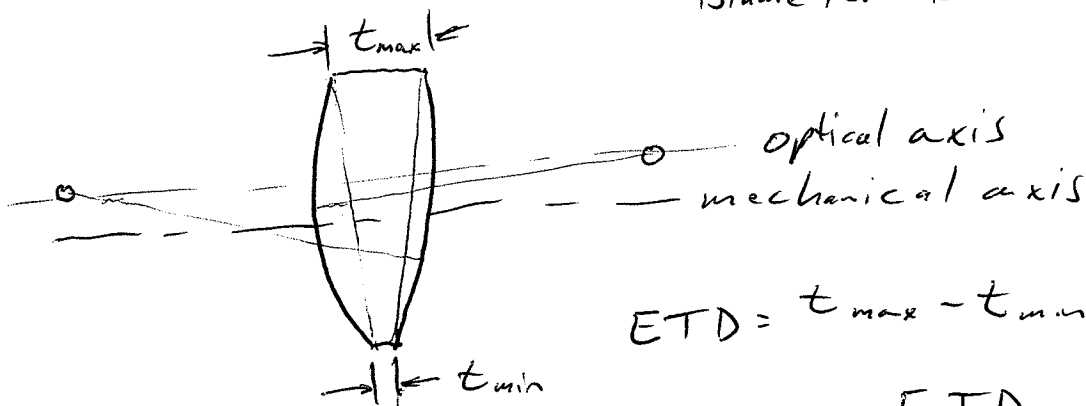
- b) Sketch the approximate MTF for the defocused system (show the zero crossing as well as approximate shape)



$$\frac{1}{\lambda F^2} \text{ cycles/mm} = 40 \frac{\text{cycles}}{\text{mm}}$$

- 9.) (5) Use a sketch to define the "wedge" in a lens.

Diameter D

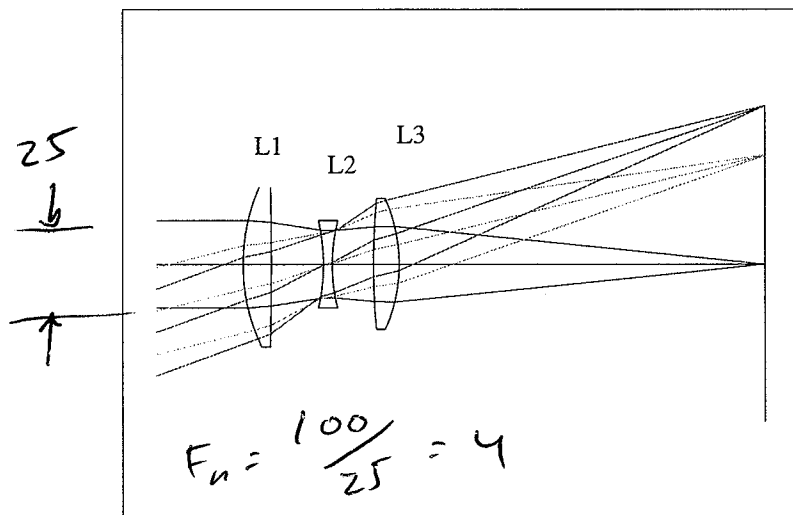


$$ETD = t_{max} - t_{min}$$

$$\text{Wedge} = \frac{ETD}{D}$$

10.) (10) Consider the following imaging system. We are concerned about the effect of lens motion on image stability. For the case of L1, calculate the image motion for 1 μm of lens decenter for this element alone.

The system has 25 mm entrance pupil diameter, 21 mm pupil, and 100 mm EFL.



L1: 80 mm focal length  
(50 mm diameter)  
L2: -40 mm focal length  
L3: 45 mm focal length

$$\Delta\theta_1 = \frac{\Delta x_1}{f_1} = \frac{1 \mu\text{m}}{80 \text{ mm}} = 12.5 \mu\text{rad}$$

$$\begin{aligned} \epsilon_1 &= D_1 \Delta\theta_1 F^\# \\ &= 25 \cdot 12.5 \cdot 4 \\ &= 1250 \mu\text{.mm} = 1.25 \mu\text{m} \end{aligned}$$

1 fringe =  $\frac{1}{2}$  surface  $\rightarrow \approx .25 \mu\text{m P-V surface}$   
 $\rightarrow \text{RoT } 0.25 \frac{\mu\text{m}}{\text{radius}} \text{ slope rms}$

11.) (10) Consider the same system above. The lens surfaces are specified to have surface irregularity less than 1 fringe over the full diameter as measured by a test plate. Estimate the degradation of image quality, defined as rms spot radius, due to each of the surfaces on L1.

$$\text{Surface slope } 0.25 \frac{\mu\text{m}}{\text{radius}} \cdot \frac{\text{radius}}{25 \text{ mm}} = 0.01 \text{ mrad rms} = 10 \mu\text{rad rms}$$

$$\text{WF slope} = \nabla W = \nabla S(n-1) = 5 \mu\text{rad rms/surface}$$

$$\epsilon_1 = D_1 \Delta\theta_1 F_n = 25 \cdot 5 \cdot 4 = 500 \mu\text{.mm} = 0.5 \mu\text{m rms}$$

0.5 μm rms radius degradation per surface on L1  
 (RSS: 0.7 μm rms radius total)

12.) (20) Sketch a 3-view orthographic projection of the following part, including dimensions.  
 (One block shown = 1 mm)

