

TEACHING ASSISTANT / ASSOCIATE FUNDING AUTHORIZATION FORM

IMPORTANT INFORMATION

Teaching Assistants/Associates (GTA) are graduate students who assist faculty with administering and delivery of courses. GTAs are supervised by the course instructor. Complete the entire Graduate Funding Authorization Form; both the student and course instructor must complete the Graduate Funding Authorization Form. The completed Graduate Funding Authorization Form should be emailed to grad-funding@optics.arizona.edu by:

All forms submitted after the date listed above will be considered late and may not be processed on time for the first pay period of the fall semester. This may result in late fees! Retroactive hire/pay is not supported.

Office Use Only

COURSE	
NEW HIRE	
ROLLOVER	
PCN Trans	
PD Trans	

STUDENT INFORMATION

Student Full Name (Last, First, Middle Initial)

Employee ID # (Student ID)

PCN# (office use)

Email Address

International I-20 End Date

STUDENT REQUIREMENTS CHECKLIST

By signing below, you confirm that you have read and met all student requirements below:

- 1. Students must have a minimum GPA of 3.0 and maintain a 3.0 GPA or more during employment.
- 2. Students must be enrolled in 6 or more graduate level units for credit the entire semester of your GA position; otherwise your position will be terminated. You must be enrolled in 6 units prior to submitting your funding paperwork.
- 3. **International Teaching Assistants/Associates (ITA)** | International Teaching Assistants/Associates from a non-English speaking country must score at least a 24 on the speaking subtest of the TOEFL iBT test or have a TSE/SPEAK score of 50 or a TBEST score of 6.8 in order to work as a TA.
- 4. Students must complete the TATO Mandatory Training!
Teaching Assistant/Associate Training Online (TATO) | TATO is a collection of self-paced modules about teaching and learning made available via D2L. All students who wish to be appointed as Teaching Assistants/Associates (TAs) must complete the module "TATO 2016" and pass the test with a score of 95% or higher. The Graduate College monitors scores. Two attempts at passing are given. One can complete TATO by signing into d2l.arizona.edu with a UA Net ID. After signing in, click on "Self Registration" in the bar under the D2L log, then select the cour offering name "TATO."
- 5. Students must complete the Conflict of Interest Training every 4 years and submit a disclosure form each year (by June 30).
 - a. Navigate to: [EDGE Learning https://arizona.sabacloud.com/](https://arizona.sabacloud.com/)
 - b. Log into the disclosure form with your Net ID
 - c. Complete the training and disclosure
 - d. Print certificate of training and submit with your funding paperwork (not required if previously submitted within last 4 years)
- 6. Students must complete RCR Training every 4 years (either 4 hour online module or a 1.5 hour in-person workshop)
 - a. Navigate to: <https://arizona.sabacloud.com/>
 - b. Search and enroll in training titled "Online: Intro to Responsible Conduct of Research"
 - c. Complete training
 - d. Print certificate of training and submit with your funding paperwork (not required if previously submitted within last 4 years)
- 7. Students must complete OSC Safety Training one time
 - a. Navigate to: <http://www.optics.arizona.edu/safetytraining>
 - b. Complete all three online safety trainings: Fire, Laser, Chemical
 - c. Submit training certificates via the online form on the same page to verify training completion. Printed certificates not required and certificate does not expire. If completed previously, the training does not need to be repeated.



- 9. If an assignment is declined, there will be no guarantee that another assignment will be available. After consulting with a student, the Associate Dean may transfer a student to another appointment during assignments or during the year.
- 10. Students are required to meet with the faculty conducting the course prior to the first day of class.
- 11. Students offered a TA position in the spring semester may have their offer rescinded after the collection of Fall TA Evaluations.
- 12. Ph.D. student will be promoted to Graduate Associate the semester after passing the PhD. Oral Comprehensive Exam. (ex., if you passed written and oral comps in the fall semester you will be promoted in the following spring semester).
- 13. As a GTA students may have employment benefits prorated for several different reasons. Some of those would be: An FTE (Full-Time Equivalency) adjustment, the GA position started after the semester started, the GA position ended before the contract end date.

Student Signature Date

POSITION INFORMATION

Position Type:

Teaching Assistant (Salary: .25 FTE = \$5,000; .50 FTE= \$10,000)

Teaching Associate (Salary: .25 FTE = \$5,504; .50 FTE= \$11,009)

Period:

Fall 2022 (Aug. 15, 2022 - Jan. 1, 2023)

Cumulative GPA

Number of Units Enrolled
(min. of 6 required)

TA Funding Level (FTE): 0.25 FTE (10 hrs / week)

0.50 FTE (20 hrs / week)

TEACHING EXPECTATIONS

****** To be Completed by Course Instructor ******

Course student will be TA for (if multiple, list all):

<i>Indicate if the student will be participating in any of the following activities</i>	Yes	No
Teaching of lectures (ex., during conferences, sick days, etc.)		
Grading		
Homework		
Exams		
Projects		
Conducting Laboratory Sections Number of Sections per Week: <input style="width: 100px;" type="text"/>		
Office Hours		
Email Contact with Students		
Have Set Deadlines for Grading		
Faculty Meetings		
Other (Please Describe) <div style="border: 1px solid black; width: 600px; height: 100px; display: inline-block; vertical-align: middle;"></div>		

Approximate number of hours per week you expect this student to work for this course:

FACULTY REQUIREMENTS AND SIGNATURE

1. A GTA is expected to perform all duties and responsibilities assigned by the course instructor/supervisor. Special duties or arrangements must be articulated in writing prior to hire.
2. A GTA on an academic contract is not required to perform duties outside of their contract dates unless the student and department agree (preferably in writing) about this *prior to the appointment start date*. Similarly GAs are not required to perform duties on official university holidays (e.g. Labor Day, Thanksgiving closure, Winter closure, MLK day, etc.) or academic breaks such as spring break, unless the student and department agree (preferably in writing) about this *prior to the appointment start date*.
3. GTAs are salaried employees. As such, their reported hours may not be reduced in most cases. During periods where a GA is concurrently enrolled, total on-campus employment may not exceed 20 hours per week (0.50 FTE).
4. International GAs on F-1 or J-1 visas are subject to additional restrictions: their employment may not exceed 20 hours per week (0.50 FTE) while they are concurrently enrolled.
5. Any hours worked above a GA's designated FTE must be paid via supplemental compensation. A GA may also hold multiple positions so long as the total FTE does not exceed the restrictions.
6. A Graduate Student is not authorized to work, and Principal Investigator may not assign research project, until hiring is completed, and funding and student academic registration is verified by OSC Academic Programs, Accounting, and Human Resources. Retroactive hire/pay is not supported.

FACULTY SUPERVISOR & ACCOUNT INFORMATION

Faculty Name	
Faculty Signature	Date

ASSOCIATE DEAN / ACCOUNTING INFORMATION

Assoc. Dean Signature	PCN #
Account #	Room #

FOR OFFICE USE ONLY

Accounting Signature	Date Received
Academic Programs Signature	Date Received



HR / PAYROLL EXPORT CONTROL REVIEW CHECKLIST

Dept / College Contact	Mark Rodriguez	Date	
Dept / College	Wyant College of Optical Sciences		
Name of Potential Hire / DCC			
Name of Supervising PI			
PI Phone		PI Email	

			Yes	No
1.	Name of Individual Screened			
	Name of Affiliated Institution	University of Arizona Wyant College of Optical Sciences		
	<p>Restricted Party Screening (RPS) through Visual Compliance must be conducted on ALL potential hires and DCCs with their affiliated institution. Screenings are to include: full name, physical address, and country. If a "hit" (100% match to the party being screened) is received, check "YES" and consult the University Export Control Program (UECP) prior to completing this form. (NOTE: A confirmed "hit" could require government authorization.)</p>			
<p><i>Attach a copy of the RPS results to the MSS transaction and/or PAF along with this checklist. A copy will also be maintained in the College/Department personnel files.</i></p>				
2.	Is the potential hire/DCC a non-U.S. person? (NOTE: U.S. Permanent Residents are considered U.S. persons.)			
<p>If Question 2 is "YES," complete the questions below. If "NO," you're done!</p>				
3.	Is the potential hire/DCC a citizen of the following countries: Cuba, Iran, Libya, North Korea, Syria, or Sudan?			
4.	Will the potential hire/DCC be working (paid or unpaid) on a research project with a Technology Control Plan (TCP); i.e., is it an export controlled project?			
4a.	If "YES," provide KFS account number(s) and room number(s) associated with the project. KFS Account(s): <input style="width: 150px;" type="text"/> Building: <input style="width: 80px;" type="text"/> Room(s): <input style="width: 150px;" type="text"/>			
5.	If "NO" to question 4, will the potential hire/DCC be working on a different project but located in a lab/room where an export controlled project is conducted?			
5a.	If "YES", provide KFS account number(s) and room number(s) associated with the export controlled project. KFS Account(s): <input style="width: 150px;" type="text"/> Building: <input style="width: 80px;" type="text"/> Room(s): <input style="width: 150px;" type="text"/>			
<p>If "YES" to checked to any of the questions 3 through 5a, forward to UECP (email@email.arizona.edu) this checklist along with job description. Government authorization might be required. Such authorization must be in place before the potential hire/DCC could work on the project.</p>				

THIS SECTION FOR USE BY UECP

Reviewed by	Wyant College of Optical Sciences	Date	
Comments / Notes			

Contact UECP (export@email.arizona.edu) if you have questions regarding the checklist.
Visit the UECP Website at: <http://rgw.arizona.edu/compliance/export-control-program>

